

#### PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 01-1560-D)

In the Application of:	)
Zou et al.	)
Lou et al.	) Examiner: TBD
Serial No.: 10/750,247	)
	) Group Art Unit: 1614
Filing Date: December 31, 2003	)
	) Confirmation No.: 8859
For: Pyrrolidinone Derivatives	)

### TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In regard to the above identified application,

- 1. We are transmitting herewith the attached:
  - a) Information Disclosure Statement;
  - b) PTO Form 1449; and
  - c) return receipt postcard.
- 2. With respect to fees:
  - a) A fee is not required at this time.
  - b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.
- 3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on May 12, 2004.

Date: May 12, 2004

Michael S. Greenfield Registration No. 37,142

Respectfully submitted,





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Filing Date: December 31, 2003

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Group Art Unit: 1614

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#### **INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner of Patents and Trademarks P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97 - 1.99, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. Pursuant to 37 C.F.R. §1.98(d), copies of references numbered 1-16 are not provided herewith, since they were previously filed in the parent application.

Applicants do not believe any fee is due with this submission. If this belief be in error and the Patent Office determines that the fee prescribed in the relevant portion of 37 C.F.R. Section 1.97 is applicable, the undersigned attorney by his signature hereby authorizes any such fee to be debited from Deposit Account 13-2490.

#### **FOREIGN PATENT DOCUMENTS**

1. WO Patent No. WO 95/19362, published July 1995.

#### **OTHER DOCUMENTS**

Bertha et al., (1998) Tetrahedron, Volume 54(50), 15227-15242. (CA PLUS document Number 130:124913.

Respectfully submitted,

McDonnell Boehnen Hulbert & Berghoff

Date: May 12, 2004

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CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 2450, Alexandria, VA 22313150, on May 22, 2004.

Date: May 12, 2004

chael S. A enfield

FORM PTO-1449 (Rev. 2-32)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No.	Serial No.	
MAN 19 TOPS	r atent and Trademark Office	01-1560-D	10/750,247	
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)			
		Applicant:		
		Zou et al.		
		Filing Date:	Group:	
		December 31, 2003	1614	

### **U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate

#### **FOREIGN PATENT DOCUMENTS**

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
1.	WO 95/19362	July 1995	WO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

·		2.	Bertha et al., (1998) Tetrahedron, Vol. 54(50), 15227-15242. (CA PLUS document number 130:124913).			
EXAMINER	?		DATE CONSIDERED			

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.